



New York State Board for Professional Medical Conduct

433 River Street, Suite 303 Troy, New York 12180-2299 • (518) 402-0863

Barbara A. DeBuono, M.D., M.P.H.
Commissioner of Health

Patrick F. Carone, M.D., M.P.H.
Chair
Ansel R. Marks, M.D., J.D.
Executive Secretary

August 1, 1997

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Alexander A. Carrese, M.D.
299 Seymour Avenue
Derby, CT 06418

RE: License No. 173025

Dear Dr. Carrese:

Enclosed please find Order #BPMC 97-187 of the New York State Board for Professional Medical Conduct. This Order and any penalty provided therein goes into effect upon receipt of this letter or seven (7) days after the date of this letter, whichever is earlier.

If the penalty imposed by the Order is a surrender, revocation or suspension of this license, you are required to deliver to the Board the license and registration within five (5) days of receipt of the Order.

Board for Professional Medical Conduct
New York State Department of Health
Hedley Park Place, Suite 303
433 River Street
Troy, New York 12180

Sincerely,

Ansel R. Marks, M.D., J.D.
Executive Secretary
Board for Professional Medical Conduct

Enclosure

cc: Robert Bogan, Esq.

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

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IN THE MATTER : CONSENT
OF : AGREEMENT
ALEXANDER ANTHONY CARRESE, M.D. : AND ORDER
: BPMC # 97-187

-----X

STATE OF CONNECTICUT)
COUNTY OF NEW HAVEN) :

ALEXANDER ANTHONY CARRESE, M.D., says:

On or about November 17, 1987, I was licensed to practice as a physician in the State of New York, having been issued license number 173025 by the New York State Education Department.

My current address is 299 Seymour Avenue, Derby, CT 06418 and I will advise the Director of the Office of Professional Medical Conduct of any change of my address.

I understand that I have been charged with specification(s) of professional misconduct as set forth in the Statement of Charges, annexed hereto, made a part hereof, and marked as Exhibit A.

I admit guilt to the second specification.

I hereby agree to the following penalty:

a censure and reprimand and that I shall be placed on probation for a period of two years from such time as I shall practice medicine in the State of New York in accord with the Terms of Probation which are annexed hereto and marked as Exhibit B. I agree to comply with the Terms of Probation (Exhibit B).

I agree that in the event that I am charged with professional misconduct in the future, this agreement and order shall be admitted into evidence in that proceeding.

I agree that, as a condition of this Order, I will maintain current registration of my license with the New York State Education Department, Division of Professional Licensing Services, and pay all registration fees. This condition will remain in effect except during periods of actual suspension, if any, imposed by this Order. This condition shall be in effect beginning thirty days after the effective date of this Order and will continue until the full term of the Order has run, and until any associated period of probation and all probation terms have been completed and satisfied. I understand that any failure by me to comply with this condition shall constitute misconduct as defined by New York State Education Law §6530(29).

I understand that, in the event that the Board does not grant this application, nothing contained herein shall be binding upon me or construed to be an admission of any act of misconduct alleged or charged against me; such application shall not be used against me in any way and shall be kept in strict confidence during the pendency of the professional misconduct disciplinary proceeding; and such denial by the Board shall be made without prejudice to the continuance of any disciplinary proceeding and the final determination by the Board pursuant to the provisions of the Public Health Law.

I agree that, in the event the State Board for Professional Medical Conduct grants my application, an order of the Chairperson of the Board shall be issued in accordance with same.

I make this application of my own free will and accord and not under duress, compulsion or restraint of any kind or manner.

Alexander Anthony Carrese

ALEXANDER ANTHONY CARRESE, M.D.
RESPONDENT

Subscribed before me this

5th day of *June*, 1997.

Michael White

NOTARY PUBLIC *EO 1431/01*

AGREED TO:

DATE: _____

_____, ESQ.
Attorney for Respondent

DATE: *10 June 1997*

[Signature]

ROBERT BOGAN
ASSISTANT COUNSEL
Bureau of Professional
Medical Conduct

DATE: *July 24, 1997*

Anne Saile

ANNE F. SAILE
DIRECTOR
Office of Professional
Medical Conduct

ORDER

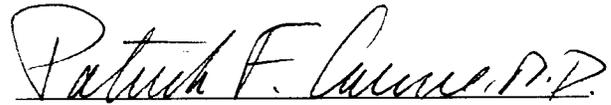
Upon the proposed agreement of ALEXANDER ANTHONY CARRESE, M.D. (Respondent) for Consent Order, which proposed agreement is made a part hereof, it is AGREED TO and

ORDERED, that the proposed agreement and the provisions thereof are hereby adopted; and it is further

ORDERED, that this order shall take effect as of the date of the personal service of this order upon Respondent, upon receipt by Respondent of this order via certified mail, or seven days after mailing of this order by certified mail, whichever is earliest.

DATED:

July 29, 1997



PATRICK F. CARONE, M.D., M.P.H.
Chair
State Board for Professional
Medical Conduct

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

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IN THE MATTER : STATEMENT
OF : OF
ALEXANDER ANTHONY CARRESE, M.D. : CHARGES

-----X

ALEXANDER ANTHONY CARRESE, M.D., the Respondent, was authorized to practice medicine in New York State on November 17, 1987 by the issuance of license number 173025 by the New York State Education Department.

FACTUAL ALLEGATIONS

A. By a Consent Order dated November 19, 1996, entered into by Respondent and the Commonwealth of Connecticut Medical Examining Board (hereinafter the "Connecticut Board"), the Connecticut Board ordered among other thing that Respondent's license to practice medicine and surgery shall be on probation for two years, retroactive to November 1, 1995, subject to specific terms and conditions.

B. The above described Consent Order was based on allegations that in 1993 and 1994 while Respondent enjoyed full privileges at Griffin Hospital, Danbury, Connecticut, Respondent failed to maintain accurate records and over utilized surgical procedures without attempting less invasive modes of treatment for both obstetric and gynecological patients.

EXHIBIT A

C. The facts in paragraph B above constitute grounds for disciplinary action pursuant to Connecticut General Statutes Section 20-13c.

D. The Respondent chose not to contest the allegations of wrongdoing in paragraph B above, but while admitting no guilt or wrongdoing, the Respondent agreed that for the purposes of the Consent Order or any future proceedings before the Connecticut Board the allegations shall have the same effect as if proven and ordered after a full hearing.

E. The conduct resulting in the Connecticut Board's disciplinary action against Respondent would constitute misconduct under the laws of New York State, pursuant to the following sections of New York State law:

1. N.Y. Education Law Section 6530(3) (McKinney Supp. 1997) - [practicing the profession with negligence on more than one occasion]; and/or
2. N.Y. Education Law Section 6530(4) (McKinney Supp. 1997) - [practicing the profession with gross negligence on a particular occasion]; and/or
3. N.Y. Education Law Section 6530(32) (McKinney Supp. 1997) - [failing to maintain an accurate record of each patient).

FIRST SPECIFICATION

Respondent is guilty of violating N.Y. Education Law §6530 (9) (b) (McKinney Supp. 1997) by reason of having been found guilty of improper professional practice or professional misconduct by a duly authorized professional disciplinary agency of another state

where the conduct upon which the finding was based could, if committed in New York State, constitute professional misconduct under the laws of New York State, in that the Petitioner charges the following:

1. The facts in paragraphs A,B,C,D, and/or E.

SECOND SPECIFICATION

Respondent is guilty of professional misconduct under N.Y. Education Law §6530(9)(d) (McKinney Supp. 1997) by reason of his having had disciplinary action taken against him by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the disciplinary action would, if committed in New York State, constitute professional misconduct under the laws of New York State, in that Petitioner charges:

2. The facts in paragraphs A,B,C,D, and/or E.

DATED: *June 10*, 1997

Albany, New York

Peter D. Van Buren
PETER D. VAN BUREN
Deputy Counsel
Bureau of Professional
Medical Conduct

EXHIBIT "B"

TERMS OF PROBATION

1. Respondent shall conduct himself/herself in all ways in a manner befitting his/her professional status, and shall conform fully to the moral and professional standards of conduct and obligations imposed by law and by his/her profession;
2. Respondent shall submit written notification to the New York State Department of Health (NYSDOH), addressed to the Director, Office of Professional Medical Conduct (OPMC), New York State Department of Health, Hedley Park Place, 4th Floor, 433 River Street, Troy, New York 12180-2299; said notice is to include a full description of any employment and practice, professional and residential addresses and telephone numbers within or without New York State, and any and all investigations, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility, within thirty days of each action;
3. Respondent shall fully cooperate with and respond in a timely manner to requests from OPMC to provide written periodic verification of Respondent's compliance with the terms of this Order. Respondent shall personally meet with a person designated by the Director of OPMC as requested by the Director.
4. Any civil penalty not paid by the date prescribed herein shall be subject to all provisions of law relating to debt collection by New York State. This includes but is not limited to the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses [Tax Law section 171(27); State Finance Law section 18; CPLR section 5001; Executive Law section 32].
5. The period of probation shall be tolled during periods in which Respondent is not engaged in the active practice of medicine in New York State. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in or intends to leave the active practice of medicine in New York State for a period of thirty (30) consecutive days or more. Respondent shall then notify the Director again prior to any change in that status. The period of probation shall resume and any terms of probation which were not fulfilled shall be fulfilled upon Respondent's return to practice in New York State.
6. Respondent's professional performance may be reviewed by the Director of OPMC. This review may include, but shall not be limited to, a review of office records, patient records

and/or hospital charts, interviews with or periodic visits with Respondent and his/her staff at practice locations or OPMC offices.

7. Respondent shall maintain legible and complete medical records which accurately reflect the evaluation and treatment of patients. The medical records shall contain all information required by State rules and regulations regarding controlled substances.

CONCLUDING TERM ON ALL ORDERS SHALL READ:

6. Respondent shall comply with all terms, conditions, restrictions, and penalties to which he/she is subject pursuant to the Order and shall assume and bear all costs related to compliance. Upon receipt of evidence of noncompliance with, or any violation of these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding and/or any such other proceeding against Respondent as may be authorized pursuant to the law.