



***New York State Board for Professional Medical Conduct***

*433 River Street, Suite 303 • Troy, New York 12180-2299 • (518) 402-0863*

Nirav R. Shah, M.D., M.P.H.  
*Commissioner*  
*NYS Department of Health*  
Sue Kelly  
*Executive Deputy Commissioner*  
Keith W. Servis, Director  
*Office of Professional Medical Conduct*

Public

Kendrick A. Sears, M.D.  
*Chair*  
Carmela Torrelli  
*Vice Chair*  
Katherine A. Hawkins, M.D., J.D.  
*Executive Secretary*

April 6, 2011

***CERTIFIED MAIL-RETURN RECEIPT REQUESTED***

Mark A. Nesselson, M.D.  
146 West 95th Street  
New York, NY 10025

Re: License No. 165950

Dear Dr. Nesselson:

Enclosed is a copy of the New York State Board for Professional Medical Conduct (BPMC) Modification Order No. 07-250. This order and any penalty provided therein goes into effect April 13, 2011.

Sincerely,

REDACTED

Katherine A. Hawkins, M.D., J.D.  
Executive Secretary  
Board for Professional Medical Conduct

Enclosure

cc: Lawrence F. Kobak, Esq.  
Kern, Augustine, Conroy & Schoppmann, P.C.  
1325 Franklin Avenue, Suite 255  
Garden City, NY 11530

NEW YORK STATE DEPARTMENT OF HEALTH  
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER  
OF  
MARK ALAN NESSELSON, M.D.

MODIFICATION  
ORDER

BPMC No. 07-250

Upon the proposed Application for a Modification Order of MARK ALAN NESSELSON, M.D. (Respondent), which is made a part of this Modification Order, it is agreed to and

ORDERED, that the attached Application, and its terms, are adopted and SO ORDERED, and it is further

ORDERED, that this Modification Order shall be effective upon issuance by the Board, either

- by mailing of a copy of this Modification Order, either by first class to Respondent at the address in the attached Application or by certified mail to Respondent's attorney, OR
- upon facsimile transmission to Respondent or Respondent's attorney, whichever is first.

SO ORDERED.

DATE: 4/4/11

REDACTED

KENDRICK A. SEARS, M.D.  
Chair  
State Board for Professional Medical Conduct

NEW YORK STATE DEPARTMENT OF HEALTH  
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER  
OF  
MARK ALAN NESSELSON, M.D.

APPLICATION FOR  
MODIFICATION  
ORDER

STATE OF NEW YORK )  
COUNTY OF NEW YORK ) SS.:

MARK ALAN NESSELSON, M.D., (Respondent) being duly sworn, deposes and says:

That on or about April 18, 1986, I was licensed to practice as a physician in the State of New York, and issued License Number 165950 by the New York State Education Department.

My current address is 146 W 95th St, New York, NY 10025 and I will advise the Director of the Office of Professional Medical Conduct of any change of address.

I understand that the New York State Board for Professional Medical Conduct ("the Board") is investigating my compliance with the probation terms imposed upon me by BPMC Order # BPMC 07-250 (Attachment I) (henceforth "Original Order"), which was issued upon an Application For Consent Order signed by me on November 7, 2007, (henceforth "Original Application"), adopted by the Original Order. I request that the Board and the Director of the Office of Professional Medical Conduct ("the Director"), conclude the investigation of these issues, provided I successfully and without incident comply with the Conditions set forth below. I ask the Board to issue an Order (henceforth "Modification Order"), which shall remain in effect indefinitely, setting the following Conditions upon my practice:

**THE PENALTY AND CONDITIONS SET FORTH IN THE ORIGINAL ORDER INCLUDE THE FOLLOWING:**

- Pursuant to N.Y. Pub. Health Law § 230-a(9), I shall be placed on probation for thirty-six months, subject to the terms set forth in attached Exhibit "B."
- Upon Respondent's successful completion of 24 months of the period of probation, Respondent may petition the Director for an early termination of probation and the Director shall exercise reasonable discretion in deciding whether to grant Respondent's petition.

**THE PENALTY AND CONDITIONS SHALL BE MODIFIED TO READ AS FOLLOWS:**

- Pursuant to N.Y. Pub. Health Law § 230-a(9), I shall be placed on probation for a period of thirty-six months, subject to the terms set forth in attached Exhibit "B"; this period of probation shall commence upon the issuance of this Modification Order. Attached Exhibit "B" shall substitute completely for the Exhibit "B" attached to the Original Order. Respondent shall comply with all conditions set forth in attached Exhibit "B".
- The term in the Original Order that set forth that "(u)pon Respondent's successful completion of 24 months of the period of probation, Respondent may petition the Director for an early termination of probation and the Director shall exercise reasonable discretion in deciding whether to grant Respondent's petition," shall be omitted from the Modification Order.
- All remaining Terms and Conditions shall continue as written in the Original Order.

I make this Application of my own free will and accord and not under duress, compulsion or restraint, and seek the anticipated benefit of the requested Modification. In consideration of the value to me of the acceptance by the Board of this Application, I knowingly waive my right to contest the Original Order or the Modification Order for which I apply, whether administratively or judicially, and ask that the Board grant this Application.

DATE: 3/17/77

REDACTED

MARK ALAN NESSELSON, M.D.  
RESPONDENT

The undersigned agree to the attached Application of Respondent and to the proposed penalty based on its terms and conditions.

DATE: 3/15/2011

REDACTED

~~LAWRENCE F. KOBAK, ESQ.~~  
Attorney for Respondent

DATE: March 24, 2011

REDACTED

~~MARCIA E. KAPLAN~~  
Associate Counsel  
Bureau of Professional Medical Conduct

DATE: 4/1/11

REDACTED

~~KEITH W. SERVIS~~  
Director  
Office of Professional Medical Conduct

EXHIBIT 1



**New York State Board for Professional Medical Conduct**  
433 River Street, Suite 303 • Troy, New York 12180-2299 • (518) 402-0863

Richard F. Daines, M.D.  
Commissioner  
NYS Department of Health  
Wendy E. Saunders  
Chief of Staff  
Keith W. Servis, Director  
Office of Professional Medical Conduct

Kendrick A. Sears, M.D.  
Chair  
Michael A. Gonzalez, R.P.A.  
Vice Chair  
Ansel R. Marks, M.D., J.D.  
Executive Secretary

Public

November 14, 2007

**CERTIFIED MAIL-RETURN RECEIPT REQUESTED**

Mark Alan Nesselson, M.D.  
146 West 95th Street  
New York, NY 10025

RE: License No. 165950

Dear Dr. Nesselson:

Enclosed is a copy of Order #BPMC 07-250 of the New York State Board for Professional Medical Conduct. This Order and any penalty provided therein goes into effect November 21, 2007.

**If the penalty imposed by this Order is a surrender, revocation or suspension, you are required to deliver your license and registration within five (5) days of receipt of this Order to:**

Board for Professional Medical Conduct  
New York State Department of Health  
Hedley Park Place, Suite 303  
433 River Street  
Troy, New York 12180

If the penalty imposed by the Order is a fine, please write the check payable to the New York State Department of Health. Noting the BPMC Order number on your remittance will assist in proper crediting. Payments should be directed to the following address:

**Bureau of Accounts Management  
New York State Department of Health  
Corning Tower, Room 1258  
Empire State Plaza  
Albany, New York 12237**

**Sincerely.**

REDACTED

**Ansel R. Marks, M.D., J.D.  
Executive Secretary  
Board for Professional Medical Conduct**

**cc: Ralph A. Erbaio, Jr., Esq.  
Kern, Augustine, Conroy & Schoppmann, P.C.  
420 Lakeville Road  
Lake Success, NY 11042**

NEW YORK STATE DEPARTMENT OF HEALTH  
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER  
OF  
MARK ALAN NESSELSON, M.D.

CONSENT  
ORDER

BPMC No. #07-250

Upon the application of (Respondent) MARK ALAN NESSELSON, M.D. in the attached Consent Agreement and Order, which is made a part of this Consent Order, it is

ORDERED, that the Consent Agreement, and its terms, are adopted and it is further

ORDERED, that this Consent Order shall be effective upon issuance by the Board, either

- by mailing of a copy of this Consent Order, either by first class mail to Respondent at the address in the attached Consent Agreement or by certified mail to Respondent's attorney, OR
- upon facsimile transmission to Respondent or Respondent's attorney, whichever is first.

SO ORDERED.

DATE: 11/14/07

REDACTED

KENDRICK A. SEARS, M.D.  
Chair  
State Board for Professional Medical Conduct



NEW YORK STATE DEPARTMENT OF HEALTH  
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER  
OF  
MARK ALAN NESSELSON, M.D.

CONSENT  
AGREEMENT  
AND  
ORDER

MARK ALAN NESSELSON, M.D., representing that all of the following statements are true, deposes and says:

That on or about April 18, 1986, I was licensed to practice as a physician in the State of New York, and issued License No. 166660 by the New York State Education Department.

My current address is 146 West 96th Street, New York, New York, 10026, and I will advise the Director of the Office of Professional Medical Conduct of any change of address.

I understand that the New York State Board for Professional Medical Conduct (Board) has charged me with seventeen specifications of professional misconduct.

A copy of the Statement of Charges, marked as Exhibit "A", is attached to and part of this Consent Agreement.

I assert that I cannot successfully defend against at least one of the acts of misconduct alleged, in full satisfaction of the charges against me, and agree to the following penalty:

Pursuant to N.Y. Pub. Health Law § 230-a(2), my license to practice medicine in New York State shall be suspended for thirty-six months, with the period of suspension stayed.



Pursuant to N.Y. Pub. Health Law § 230-a(9), I shall be placed on probation for thirty-six months, subject to the terms set forth in attached Exhibit "B."

Upon Respondent's successful completion of 24 months of the period of probation, Respondent may petition the Director for an early termination of probation and the Director shall exercise reasonable discretion in deciding whether to grant Respondent's petition.

Pursuant to N.Y. Pub. Health Law §§ 230-a(7) and (8), I shall be subject to a fine in the amount of ten thousand dollars (\$10,000), the first five thousand dollars (\$5000) to be paid within the first six months of the effective date of this Order, and the second five thousand dollars (\$5000) to be paid within the first twelve months of the effective date of this order. Payments must be submitted to:

Bureau of Accounts Management  
New York State Department of Health  
Empire State Plaza  
Coming Tower, Room 1245  
Albany, New York 12237

I further agree that the Consent Order shall impose the following conditions:

That Respondent shall remain in continuous compliance with all requirements of N.Y. Educ Law § 8502 including but not limited to



the requirements that a licensee shall register and continue to be registered with the New York State Education Department (except during periods of actual suspension) and that a licensee shall pay all registration fees. Respondent shall not exercise the option provided in N.Y. Educ. Law § 6502(4) to avoid registration and payment of fees. This condition shall take effect 30 days after the Consent Order's effective date and will continue so long as Respondent remains a licensee in New York State; and

That Respondent shall cooperate fully with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Consent Order and in its investigations of matters concerning Respondent. Respondent shall respond in a timely manner to all OPMC requests for written periodic verification of Respondent's compliance with this Consent Order. Respondent shall meet with a person designated by the Director of OPMC, as directed. Respondent shall respond promptly and provide all documents and information within Respondent's control, as directed. This condition shall take effect upon the Board's issuance of the Consent Order and will continue so long as Respondent remains licensed in New York State.

I stipulate that my failure to comply with any conditions of this Consent Order shall constitute misconduct as defined by N.Y. Educ. Law § 6530(29).

I agree that, if I am charged with professional misconduct in future, this Consent Agreement and Order shall be admitted into evidence in that proceeding.



I ask the Board to adopt this Consent Agreement.

I understand that if the Board does not adopt this Consent Agreement, none of its terms shall bind me or constitute an admission of any of the acts of alleged misconduct; this Consent Agreement shall not be used against me in any way and shall be kept in strict confidence; and the Board's denial shall be without prejudice to the pending disciplinary proceeding and the Board's final determination pursuant to N.Y. Pub. Health Law.

I agree that, if the Board adopts this Consent Agreement, the Chair of the Board shall issue a Consent Order in accordance with its terms. I agree that this Consent Order shall take effect upon its issuance by the Board, either by mailing of a copy of the Consent Order by first class mail to me at the address in this Consent Agreement, or to my attorney by certified mail, OR upon facsimile transmission to me or my attorney, whichever is first. The Consent Order, this agreement, and all attached Exhibits shall be public documents, with only patient identities, if any, redacted. As public documents, they may be posted on the Department's website.

I stipulate that the proposed sanction and Consent Order are authorized by N.Y. Pub. Health Law §§ 230 and 230-a, and that the Board and OPMC have the requisite powers to carry out all included terms. I ask the Board to adopt this Consent Agreement of my own free will and not under duress, compulsion or restraint. In consideration of the value to me of the Board's adoption of this Consent Agreement, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive my right to contest the





The undersigned agree to Respondent's attached Consent Agreement and to its proposed penalty, terms and conditions.

DATE: November 7, 2007

REDACTED

~~RALPH ERBATO, ESQ.~~  
Attorney for Respondent

DATE: 11/7/2007

REDACTED

~~DENISE LEPIVIER~~  
Associate Counsel  
Bureau of Professional Medical Conduct

DATE: 11/13/07

REDACTED

~~KRITH W. SERVICE~~  
Director  
Office of Professional Medical Conduct

**EXHIBIT "A"**

NEW YORK STATE DEPARTMENT OF HEALTH  
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER  
OF  
MARK ALAN NESSELSON, M.D.

STATEMENT  
OF  
CHARGES

MARK ALAN NESSELSON, M.D., the Respondent, was authorized to practice medicine in New York State on or about April 18, 1986, by the issuance of license number 165950 by the New York State Education Department.

**FACTUAL ALLEGATIONS**

- A. Respondent treated Patient A from on or about July 8, 1998, to on or about August 4, 2003.
1. Patient A's medical records include written reports and/or records by Respondent which falsely reflect that Patient A received vaccinations which the patient never received.
    - a. Respondent made such false reports and/or records willfully.
    - b. Respondent did so knowingly and with the intent to mislead.
  2. If Respondent did administer vaccinations to Patient A, Respondent failed to comply with substantial provisions of federal and local laws, and/or rules, and/or regulations governing the practice of medicine.
- B. Respondent treated Patient B from on or about July 18, 1997, to on or about August 5, 2003.
1. Patient B's medical records include written reports and/or

records by Respondent which falsely reflect that Patient B received vaccinations which the patient never received.

- a. Respondent made such false reports and/or records willfully.
- b. Respondent did so knowingly and with the intent to mislead.

2. If Respondent did administer vaccinations to Patient B, Respondent failed to comply with substantial provisions of federal and local laws, and/or rules, and/or regulations governing the practice of medicine.

C. Respondent treated Patient C from on or about March 18, 1997, to on or about June 16, 2004.

1. Patient C's medical records include written reports and/or records by Respondent which falsely reflect that Patient C received vaccinations which the patient never received.

- a. Respondent made such false reports and/or records willfully.
- b. Respondent did so knowingly and with the intent to mislead.

2. If Respondent did administer vaccinations to Patient C, Respondent failed to comply with substantial provisions of federal and local laws, and/or rules, and/or regulations governing the practice of medicine.

D. Respondent treated Patient D from on or about January 16, 1997, to on or about August 20, 2004.

1. Patient D's medical records include written reports and/or records by Respondent which falsely reflect that Patient D

received vaccinations which the patient never received.

- a. Respondent made such false reports and/or records willfully.
  - b. Respondent did so knowingly and with the intent to mislead.
2. If Respondent did administer vaccinations to Patient D, Respondent failed to comply with substantial provisions of federal and local laws, and/or rules, and/or regulations governing the practice of medicine.

### **SPECIFICATION OF CHARGES**

#### **FIRST SPECIFICATION**

#### **NEGLIGENCE ON MORE THAN ONE OCCASION**

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(3) by practicing the profession of medicine with negligence on more than one occasion as alleged in the facts of two or more of the following:

1. Paragraphs A and A1; and/or Paragraphs B and B1; and/or Paragraphs C and C1; and/or Paragraphs D and D1.

#### **SECOND THROUGH FIFTH SPECIFICATIONS**

#### **FALSE REPORT**

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(21) by wilfully making or filing a false report, or failing to file a report required by law or by the department of health or the education department, as alleged in the facts of:

2. Paragraphs A and A1 and A1a;
3. Paragraphs B and B1 and B1a;
4. Paragraphs C and C1 and C1a;
5. Paragraphs D and D1 and D1a.

#### **SIXTH THROUGH NINTH SPECIFICATIONS**

##### **FRAUDULENT PRACTICE**

Respondent is charged with committing professional misconduct as defined by N.Y. Educ. Law § 6530(2) by practicing the profession of medicine fraudulently as alleged in the facts of the following:

6. Paragraphs A and A1 and A1b;
7. Paragraphs B and B1 and B1b;
8. Paragraphs C and C1 and C1b;
9. Paragraphs D and D1 and D1b.

#### **TENTH THROUGH THIRTEENTH SPECIFICATIONS**

##### **FAILURE TO COMPLY**

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(15) by willfully, or with gross negligence, failing to comply with substantial provisions of federal, state, or local laws, rules, or regulations governing the practice of medicine, as alleged in the facts of:

10. Paragraphs A and A2;
11. Paragraphs B and B2;
12. Paragraphs C and C2;
13. Paragraphs D and D2.

**FOURTEENTH THROUGH SEVENTEENTH SPECIFICATIONS**

**FAILURE TO MAINTAIN RECORDS**

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(32) by failing to maintain a record for each patient which accurately reflects the care and treatment of the patient, as alleged in the facts of:

14. Paragraphs A and A1 or A2;
15. Paragraphs B and B1 or B2;
16. Paragraphs C and C1 or C2;
17. Paragraphs D and D1 or D2.

DATE: October 2, 2007  
New York, New York

REDACTED

**Roy Nemerson**  
**Deputy Counsel**  
**Bureau of Professional Medical Conduct**

## EXHIBIT "B"

### Terms of Probation

1. Respondent's conduct shall conform to moral and professional standards of conduct and governing law. Any act of professional misconduct by Respondent as defined by N.Y. Educ. Law §§ 6530 or 6531 shall constitute a violation of probation and may subject Respondent to an action pursuant to N.Y. Pub. Health Law § 230(16).
2. Respondent shall maintain active registration of Respondent's license (except during periods of actual suspension) with the New York State Education Department Division of Professional Licensing Services, and shall pay all registration fees.
3. Respondent shall provide the Director, Office of Professional Medical Conduct (OPMC), Empire State Plaza, 433 River Street Suite 303, Troy, New York 12180-2299 with the following information, in writing, and ensure that this information is kept current: a full description of Respondent's employment and practice; all professional and residential addresses and telephone numbers within and outside New York State; and all investigations, arrests, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility. Respondent shall notify OPMC, in writing, within 30 days of any additions to or changes in the required information.
4. Respondent shall cooperate fully with, and respond in a timely manner to, OPMC requests to provide written periodic verification of Respondent's compliance with the terms of this Consent Order. Upon the Director of OPMC's request, Respondent shall meet in person with the Director's designee.
5. Respondent's failure to pay any monetary penalty by the prescribed date shall subject Respondent to all provisions of law relating to debt collection by New York State, including but not limited to: the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses (Tax Law § 171(27); State Finance Law § 18; CPLR § 5001; Executive Law § 32).
6. Payment of the fine imposed is also a term of probation. The fine is payable as set forth in this Order. Payments must be submitted to:  

Bureau of Accounts Management  
New York State Department of Health  
Empire State Plaza  
Corning Tower, Room 1245  
Albany, New York 12237
7. The probation period shall toll when Respondent is not engaged in active medical practice in New York State for a period of 90 consecutive days or more. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in, or intends to leave, active medical practice in New York State for a consecutive 30 day period. Respondent shall then notify the Director again at least 14 days before returning to



active practice. Upon Respondent's return to active practice in New York State, the probation period shall resume and Respondent shall fulfill any unfulfilled probation terms and such additional requirements as the Director may impose as reasonably relate to the matters set forth in Exhibit "A" or as are necessary to protect the public health. When Respondent is absent from New York State but is practicing medicine by consulting with New York State patients by telephone from out of state, the probation period shall not toll, unless Respondent does no such consulting for a period of more than 30 days.

8. The Director of OPMC may review Respondent's professional performance. This review may include but shall not be limited to: a review of office records, patient records, hospital charts, and/or electronic records; and interviews with or periodic visits with Respondent and staff at practice locations or OPMC offices.
9. Respondent shall maintain complete and legible medical records that accurately reflect the evaluation and treatment of patients and contain all information required by State rules and regulations concerning controlled substances.

#### PRACTICE MONITOR

10. Within thirty days of the effective date of the order, Respondent shall practice medicine only when monitored by a licensed physician, board certified in an appropriate specialty, ("practice monitor") proposed by Respondent and subject to the written approval of the Director of OPMC.
  - a. Respondent shall make available to the monitor any and all records or access to the practice requested by the monitor, including on-site observation. When Respondent is absent from New York State but is practicing medicine by consulting with New York State patients from out of state, Respondent shall maintain a log of each such consultation, including the date of the call and the patient's name. This log and the patient medical records of any telephone contacts, shall be made available as per the request of Respondent's practice monitor and/or the Office of Professional Medical Conduct. The practice monitor shall visit Respondent's medical practice at each and every location, on a random unannounced basis at least monthly, when Respondent practices medicine in any office or facility located within New York State. Wherever the Respondent is located, the practice monitor shall examine at least monthly a selection (no fewer than 20) of records maintained by Respondent, including patient records, prescribing information and office records. The review will determine whether the Respondent's medical practice is conducted in accordance with the generally accepted standards of professional medical care. Any perceived deviation or accepted standards of medical care or refusal to cooperate with the monitor shall be reported within 24 hours to OPMC.
  - b. Respondent shall be solely responsible for all expenses associated with monitoring, including fees, if any, to the monitoring physician.
  - c. Respondent shall cause the practice monitor to report quarterly, in writing, to the Director of OPMC.
  - d. Respondent shall maintain medical malpractice insurance coverage



with limits no less than \$2 million per occurrence and \$8 million per policy year, in accordance with Section 230(18)(b) of the Public Health Law. Proof of coverage shall be submitted to the Director of OPMC prior to Respondent's practice after the effective date of this Order.

11. Respondent shall enroll in and complete continuing medical education in the areas of medical ethics and medical record keeping. This continuing education program is subject to the Director of OPMC's prior written approval and shall be completed within the first year of the probation period.
12. Respondent shall comply with this Consent Order and all its terms, and shall bear all associated compliance costs. Upon receiving evidence of noncompliance with, or a violation of, these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding, and/or any other such proceeding authorized by law, against Respondent.

**EXHIBIT "B"**

## EXHIBIT "B"

### **Terms of Probation**

1. Respondent's conduct shall conform to moral and professional standards of conduct and governing law. Any act of professional misconduct by Respondent as defined by N.Y. Educ. Law §§ 6530 or 6531 shall constitute a violation of probation and may subject Respondent to an action pursuant to N.Y. Pub. Health Law § 230(19).
2. Respondent shall maintain active registration of Respondent's license (except during periods of actual suspension) with the New York State Education Department Division of Professional Licensing Services, and shall pay all registration fees.
3. Respondent shall provide the Director, Office of Professional Medical Conduct (OPMC), Hedley Park Place, 433 River Street Suite 303, Troy, New York 12180-2299 with the following information, in writing, and ensure that this information is kept current: a full description of Respondent's employment and practice; all professional and residential addresses and telephone numbers within and outside New York State; and all investigations, arrests, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility. Respondent shall notify OPMC, in writing, within 30 days of any additions to or changes in the required information.
4. Respondent shall cooperate fully with, and respond in a timely manner to, OPMC requests to provide written periodic verification of Respondent's compliance with the terms of this Modification Order. Upon the Director of OPMC's request, Respondent shall meet in person with the Director's designee.
5. Respondent's failure to pay any monetary penalty by the prescribed date shall subject Respondent to all provisions of law relating to debt collection by New York State, including but not limited to: the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses [Tax Law § 171(27); State Finance Law § 18; CPLR § 5001; Executive Law § 32].
6. The probation period shall toll when Respondent is not engaged in active medical practice in New York State for a period of 30 consecutive days or more. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in, or intends to leave, active medical practice in New York State for a consecutive 30 day period. Respondent shall then notify the Director again at least 14 days before returning to active practice. Upon Respondent's return to active practice in New York State, the probation period shall resume and Respondent shall fulfill any unfulfilled probation terms and such additional requirements as the Director may impose as reasonably relate to the matters set forth in Exhibit "A" or as are necessary to protect the public health.

7. The Director of OPMC may review Respondent's professional performance. This review may include but shall not be limited to: a review of office records, patient records, hospital charts, and/or electronic records; and interviews with or periodic visits with Respondent and staff at practice locations or OPMC offices.
8. Respondent shall adhere to federal and state guidelines and professional standards of care with respect to infection control practices. Respondent shall ensure education, training and oversight of all office personnel involved in medical care, with respect to these practices.
9. Respondent shall maintain complete and legible medical records that accurately reflect the evaluation and treatment of patients and contain all information required by State rules and regulations concerning controlled substances. These records shall include a log of all vaccines (hereafter, "vaccine log") ordered by Respondent and/or administered to patients; the vaccine log shall be kept in a format subject to the Director of OPMC's prior written approval.
10. Respondent shall enroll in and complete a continuing education program in Pediatrics, subject to the Director of OPMC's prior written approval. This program shall include, but not be limited to a Comprehensive Review in Pediatrics, which shall be successfully completed within the first six months of the probation period, and 20 credit hours of CME in general pediatrics, to be successfully completed during each year of probation.
11. Within 30 days of the Modification Order's effective date, Respondent shall practice medicine only when monitored by a licensed physician, board certified in an appropriate specialty, ("practice monitor") proposed by Respondent and subject to the written approval of the Director of OPMC. Any medical practice in violation of this term shall constitute the unauthorized practice of medicine.
  - a. Respondent shall make available to the monitor any and all records or access to the practice requested by the monitor, including on-site observation. The practice monitor shall visit Respondent's medical practice at each and every location, on a random unannounced basis at least monthly and shall examine a selection (no fewer than 20) of records maintained by Respondent, including patient records, prescribing information and office records. The practice monitor shall also examine the vaccine log at least monthly. The review will determine whether the Respondent's medical practice is conducted in accordance with the generally accepted standards of professional medical care. Any perceived deviation of accepted standards of medical care or refusal to cooperate with the monitor shall be reported within 24 hours to OPMC.
  - b. Respondent shall be solely responsible for all expenses associated with monitoring, including fees, if any, to the monitoring physician.

- c. Respondent shall cause the practice monitor to report quarterly, in writing, to the Director of OPMC.
  - d. Respondent shall maintain medical malpractice insurance coverage with limits no less than \$2 million per occurrence and \$6 million per policy year, in accordance with Section 230(18)(b) of the Public Health Law. Proof of coverage shall be submitted to the Director of OPMC prior to Respondent's practice after the effective date of this Order.
12. Respondent shall comply with this Modification Order and all its terms, and shall bear all associated compliance costs. Upon receiving evidence of noncompliance with, or a violation of, these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding, and/or any other such proceeding authorized by law, against Respondent.